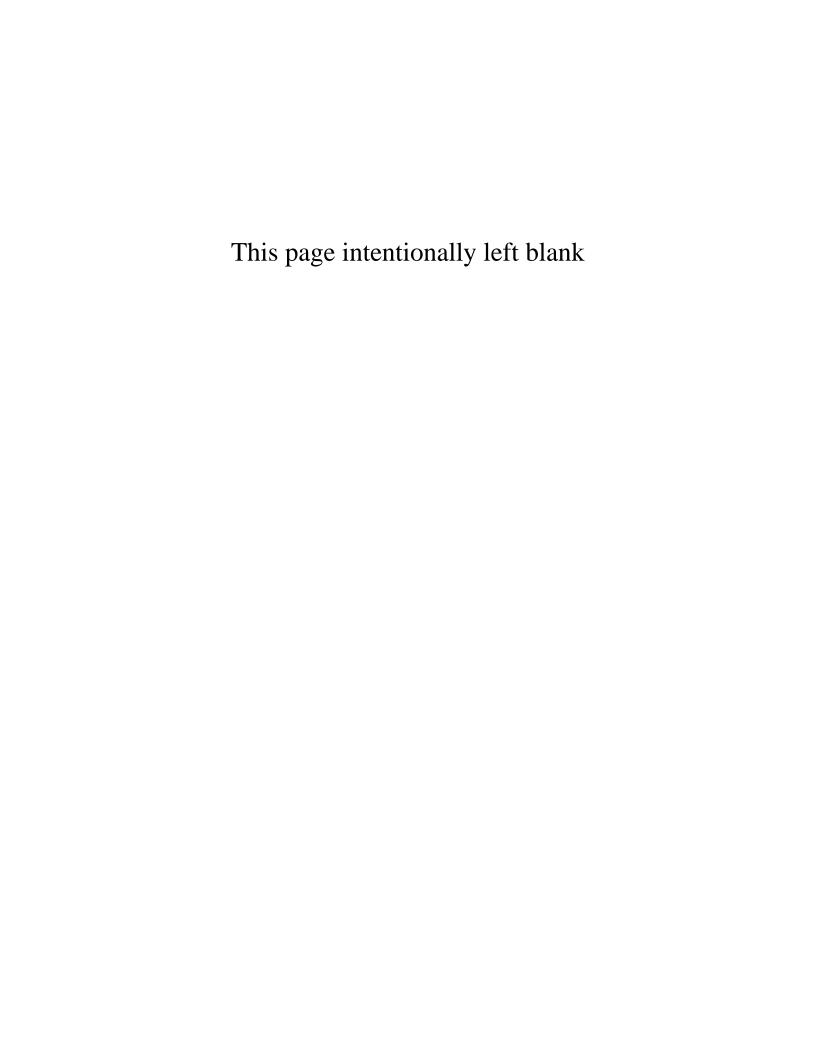
U.S. Navy Arctic Roadmap 2014-2030



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1. REPORT DATE FEB 2014		2. REPORT TYPE		3. DATES COVE 00-00-2014	TRED 1 to 00-00-2014		
4. TITLE AND SUBTITLE				5a. CONTRACT NUMBER			
The United States	Navy Arctic Roadm	ap for 2014 to 2030	5b. GRANT NUMBER				
				5c. PROGRAM I	ELEMENT NUMBER		
6. AUTHOR(S)				5d. PROJECT NU	JMBER		
				5e. TASK NUME	BER		
		5f. WORK UNIT NUMBER					
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Chief of Naval Operations,2000 Navy Pentagon,Washington,DC,20350-2000				8. PERFORMING ORGANIZATION REPORT NUMBER			
9. SPONSORING/MONITO	RING AGENCY NAME(S) A	ND ADDRESS(ES)		10. SPONSOR/M	ONITOR'S ACRONYM(S)		
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)			
12. DISTRIBUTION/AVAIL Approved for publ	ABILITY STATEMENT ic release; distributi	on unlimited					
13. SUPPLEMENTARY NO	OTES						
14. ABSTRACT							
15. SUBJECT TERMS							
16. SECURITY CLASSIFIC	ATION OF:		17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON		
a. REPORT unclassified	b. ABSTRACT unclassified	c. THIS PAGE unclassified	Same as Report (SAR)	47			

Report Documentation Page

Form Approved OMB No. 0704-0188





DEPARTMENT OF THE NAVY CHIEF OF NAVAL OPERATIONS 2000 NAVY PENTAGON **WASHINGTON DC 20350-1000**

The U.S. Navy recognizes that the opening of the Arctic Ocean has important national security implications as well as significant impacts on the U.S. Navy's required future capabilities. The national security interests of the United States, an Arctic nation through the state of Alaska, extend into the entire Arctic Region. The United States has a history of maritime homeland security and homeland defense concerns in the Arctic Region along with a longstanding North American security partnership with Canada. The U.S. Navy, with its long track record of Arctic Ocean operations and exploration, is planning today to address future Arctic Region security concerns.

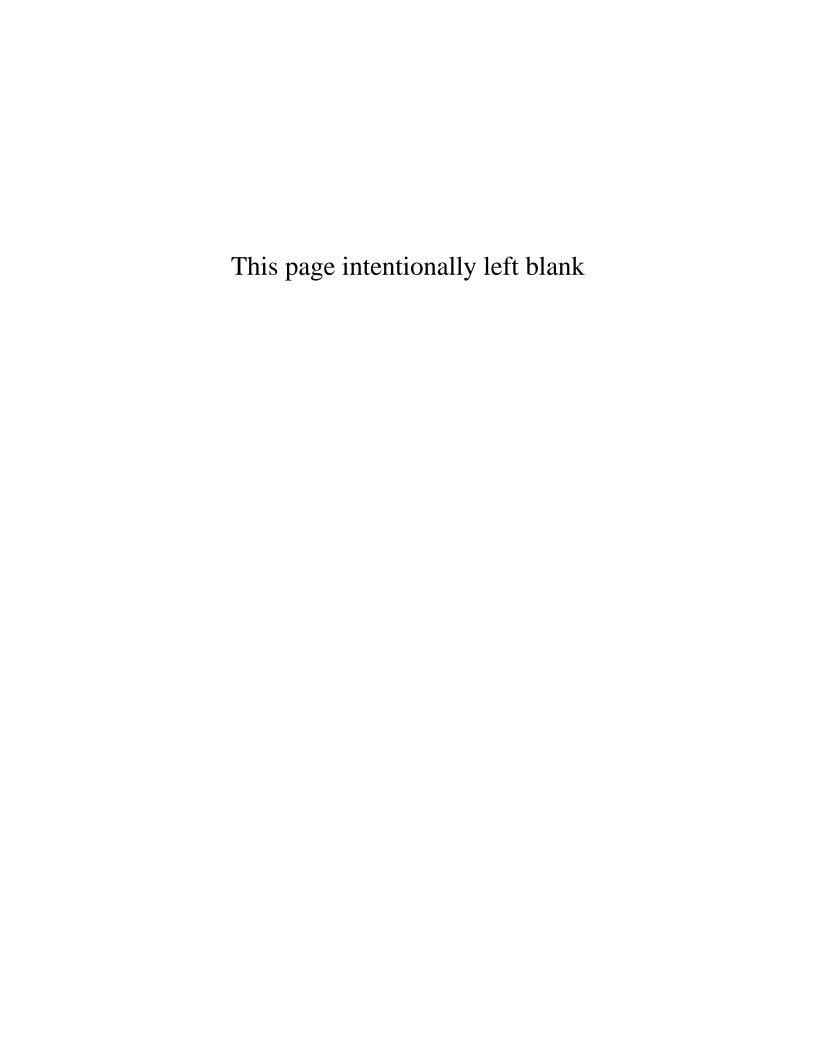
The establishment of Navy's Task Force Climate Change and the U.S. Navy's 2009 Arctic Roadmap were important steps gaining a comprehensive, unambiguous understanding of the complex environment of the Arctic Region and the many challenges it poses for future operations. Today, the observed changes in the Arctic Region climate and the reduced extent of summer sea ice reveal the potential for the Arctic Ocean to become a more viable route for international shipping over the coming decades. Opportunities exist for infrastructure development and commercial investment, resource exploitation, fishing, and tourism.

Over the last four years, Task Force Climate Change, in consultation and collaboration with the broader governmental and private scientific communities, has concluded that ice conditions in the Arctic Ocean are changing more rapidly than first anticipated. This updated U.S. Navy Arctic Roadmap prepares the U.S. Navy to respond effectively to future contingencies, delineates the U.S. Navy's Arctic Region leadership role within the Defense Department, and articulates the Navy's support to national priorities. It outlines the U.S. Navy's strategic approach for the Arctic Ocean and the ways and means to support the desired defense and national end states.

Our challenge over the coming decades is to balance the demands of current requirements with investment in development of future capabilities. We will continue to support the national security interests of the United States and prepare for potential Arctic Region security contingencies through strengthened relationships with the U.S. Coast Guard, interagency, and international Arctic partners. The focus on prioritized near-term (today through 2020) and midterm (2020-2030) tasks in this Roadmap will ensure our investments are informed, focused, and deliberate as the U.S. Navy approaches a new maritime frontier.

> JONATHAN W. GREENERT Admiral, U.S. Navy

Chief of Naval Operations



Chief of Naval Operations



The United States Navy Arctic Roadmap for 2014 to 2030

February 2014

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Executive Summary

The United States is an Arctic nation¹ through the state of Alaska and its surrounding territorial and Exclusive Economic Zone waters located in and around the Arctic Circle. The United States Navy, as the maritime component of the Department of Defense, has global leadership responsibilities to provide ready forces for current operations and contingency response that include the Arctic Ocean.² The Arctic Region³ remains a challenging operating environment, with a harsh climate, vast distances, and little infrastructure. These issues, coupled with limited operational experience, are just a few substantial challenges the Navy will have to overcome in the Arctic Region. While the Region is expected to remain a low threat security environment where nations resolve differences peacefully, the Navy will be prepared to prevent conflict and ensure national interests are protected.

In the coming decades, the Arctic Ocean will be increasingly accessible and more broadly used by Arctic and non-Arctic nations seeking the Region's abundant resources and trade routes. Due to the significant retreat of sea ice, previously unreachable areas have started to open for maritime use several weeks each year. The predicted rise in oil and gas development, fishing, tourism, and mineral mining could alter the Region's strategic importance as Arctic and non-Arctic nations make investments. Despite this gradual ice opening, the Region's frequent harsh weather and sea conditions are significant limiting factors for Arctic Ocean operations.

This update of the 2009 Navy Arctic Roadmap provides guidance necessary to prepare the Navy to respond effectively to future Arctic Region contingencies, delineates the Navy's leadership role, and articulates the Navy's support to achieve national priorities in the Region. Navy functions in the Arctic Region are no different from those in other maritime regions; however, the Arctic Region environment makes the execution of many of these functions⁴ much more challenging.

In May 2013, President Obama published the *National Strategy for the Arctic Region*, defining the desired end state as an Arctic Region stable and free of conflict, where nations act responsibly in a spirit of trust and cooperation, and where economic and energy resources are developed in a sustainable manner. In November 2013, the Secretary of Defense published the *Department of Defense Arctic Strategy*, identifying two supporting objectives to the National Strategy:

- Ensure security, support safety, and promote defense cooperation;
- Prepare for a wide range of challenges and contingencies.

In support of National and Department of Defense aims, the Navy will pursue the following strategic objectives:

- Ensure United States Arctic sovereignty and provide homeland defense;
- Provide ready naval forces to respond to crisis and contingencies;
- Preserve freedom of the seas; and
- *Promote partnerships* within the United States Government and with international allies and partners.

This Roadmap outlines the Navy's strategic approach for the Arctic Region and the ways and means to achieve the desired national end state. Resource constraints and competing near-term mission demands require that naval investments be informed, focused, and deliberate. Proactive planning today allows the Navy to prepare its forces for Arctic Region operations. This Roadmap emphasizes low-cost, long-lead activities that position the Navy to meet future demands. In the near to mid-term, the Navy will concentrate on improving operational capabilities, expertise, and capacity, extending reach, and will leverage interagency and international partners to achieve its strategic objectives. The Roadmap recognizes the need to guide investments by prudently balancing regional requirements with national goals.

This Roadmap provides direction to the Navy for the near-term (present-2020), mid-term (2020-2030), and far-term (beyond 2030), placing particular emphasis on near-term actions necessary to enhance Navy's ability to operate in the Arctic Region in the future. In the near-term, there will be low demand for additional naval involvement in the Region. Current Navy capabilities are sufficient to meet near-term operational needs. Navy will refine doctrine, operating procedures, and tactics, techniques, and procedures to guide future potential operations in the Arctic Region. In the mid-term, the Navy will provide support to the Combatant Commanders, United States Coast Guard, and other United States Government agencies. In the far-term, increased periods of ice-free conditions could require the Navy to expand this support on a more routine basis. Throughout these timeframes, the Navy will continue to develop and enhance cooperative relationships across the Department of Defense, United States Government agencies, industry, and international allies and partners.

The Arctic Ocean

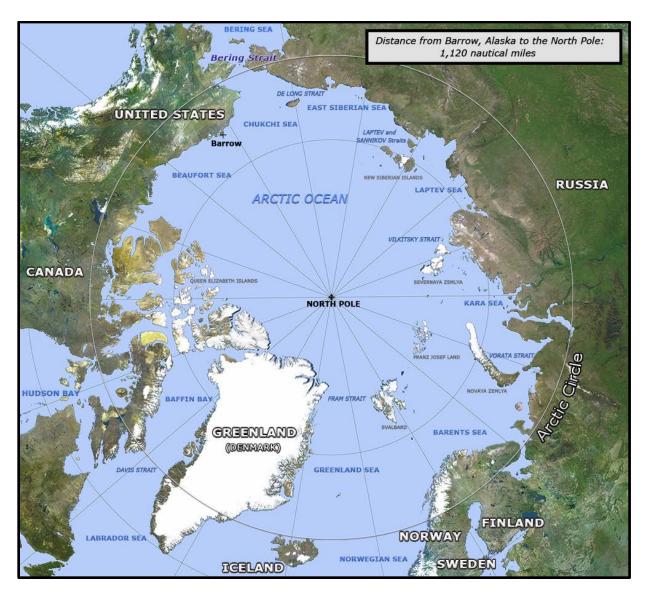


Figure 1: Arctic Ocean (United States Navy graphic)

Introduction

The United States' overarching strategic national security objective for the Arctic Region is a stable and secure region where the national interests of the United States are safeguarded and the homeland is protected.⁵ The Navy's primary goal in support of National and Department of Defense aims is to contribute to a peaceful, stable, and conflict-free Arctic Region.

The Arctic Ocean comprises a roughly circular basin and covers an area of about 5.4 million square miles, almost 1.5 times the size of the United States. Today, much of the Arctic Region is ice covered, limiting human access to particular times of the year. The expected continued reduction of multi-year Arctic sea ice over the coming decades will result in increased human activity in the Arctic Ocean. How much of an increase, and in what types of activities, remains to be seen.

The rate of opening of the geography, the short commercial shipping season, the environmental complexities and limitations of operating in the Arctic Ocean, and present geopolitical trends in the Arctic Region lead intelligence assessments to predict it is unlikely the Region will be the site of state-on-state armed conflict. Disputes between Arctic Region nations can be resolved peacefully and without military force, as demonstrated by the Russia-Norway Barents Sea agreement. While the Arctic Region is expected to remain an area of low threat, the United States does have standing security interests in the Region, including threat early warning systems; freedom of navigation and overflight through the region; preventing terrorist attacks against the homeland; combined security obligations with Canada; and deployment of sea and air forces as required for deterrence, maritime presence, and maritime security operations.

As the Arctic Ocean opens, the Bering Strait will have increased strategic importance. This 51-mile wide strait between Russia and the United States, with a depth varying between 98 to 160 feet, represents an important chokepoint for surface and subsurface vessels entering or departing the Arctic Ocean. The Bering Strait and access to and through the Arctic Ocean will become a more important security planning consideration as maritime activity continues to increase. Partnership building opportunities exist for the United States to cooperate with maritime nations as economic activity increases north of the Bering Strait. The Strait has special significance for Russia since it allows Russia to connect her Asian and European naval forces. As the Pacific gateway for Russia's Northern Sea Route, the Bering Strait will become increasingly important for seaborne trade between Europe and Asia. The anticipated increase in traffic through the Strait provides opportunity for the United States to strengthen ties with Russia, promoting maritime security and safety in the region.⁸

For decades, Canada and the United States have been partners in the defense of North America, cooperating within the framework of such instruments as the North Atlantic Treaty Organization (NATO) and North American Aerospace Defense Command (NORAD). Homeland defense and homeland security are top priorities for the governments of Canada and the United States. The Navy will work with the Royal Canadian Navy to ensure common Arctic Region interests are addressed in a complementary manner. The Navy will continue to support NORAD's missions

for aerospace warning and control, and maritime warning for threats against the United States and Canada. This unique and enduring partnership between the United States and Canada in defense cooperation is important to our mutual security interests in the Arctic Region.

The Navy and Coast Guard have a decades-long history of cooperation and collaboration. The two services have worked together in close partnership during times of war and peace to protect our Nation's ports and waterways and to promote our maritime security interests overseas. The history of this collaboration between the two sea services acknowledges the distinctive missions, competencies, and cultures of each service. The combined efforts of the Navy and the Coast Guard in the Arctic Ocean will reflect this historic relationship. The Coast Guard and Navy are committed to ensuring safe, secure, and environmentally responsible maritime activity in Arctic Ocean waters and to promoting our other national interests in the Region.

The Arctic Region's vast mineral resources hold significant wealth potential if feasible and cost-effective means can be employed for extraction and transportation to markets. America's continental shelf holds significant energy and mineral resources. Estimates for the economic potential of hydrocarbon resources alone exceed \$1 trillion in the U.S. Arctic. The Alaskan Arctic may hold the second largest oil and gas reserves in the Arctic Ocean (after the West Siberian Basin), containing an estimated 29.9 billion barrels of oil, over 221 trillion cubic feet of natural gas, and 5.9 billion barrels of natural gas liquids. In the near-term, mineral resources, particularly rare earth and strategic minerals, iron ore, zinc, nickel, coal, graphite, palladium, and many others will be more important economic drivers in the Arctic Region.

As the Arctic Region becomes increasingly accessible, multinational corporations will likely view exploration of these untapped resources as attractive commercial opportunities for long-term investments. However, the financial, technical, and environmental risks of operating in the Arctic Region create substantial challenges for future production in the region. Whether the resources developed are mineral or hydrocarbon, they must find their way to receptive markets via shipping routes or pipelines. After discovery, oil and gas production in the Arctic Region faces high capital and operating costs. The cost of building infrastructure requires companies to carefully consider whether production volumes and overhead will be commercially feasible to make these investments worthwhile.

Given these current and projected developments, the Navy's existing Arctic Region posture remains appropriate to address the near-term defense requirements of the United States in the Arctic region. During the timeframes assessed for this Roadmap, performance of most national defense missions that entail naval presence in the Arctic Ocean will likely be limited to those summer months when the sea ice is near its minimum, and regional activity is at a peak. Exceptions to the seasonal variation in mission requirements are homeland defense missions. These missions require persistent domain awareness and episodic presence to influence potential adversaries and protect the United States from a range of possible threats.

The Navy's submarine fleet has decades of experience performing missions and exercises under the sea ice. On the other hand, the Navy's surface and air forces have limited operational experience in the region. The Navy will need to periodically evaluate preparedness for operations and conduct training exercises in harsh conditions as changes occur over time in order to ensure the Navy can operate in a more accessible Arctic Ocean.

Regardless of the degree of accessibility, the Arctic Region will remain a unique and harsh operating environment. Naval operations in the Arctic Ocean, outside the Barents, Bering, and Norwegian Seas, require special training, extreme cold-weather modifications for systems and equipment, and complex logistics support. Given the vast distances and virtually no supporting infrastructure, naval forces without specialized equipment and operational experience face substantial impediments. In areas that are seasonally free of ice, the ability of commercial and military vessels to maneuver will remain significantly hindered due to unpredictable locations and movement of ice formations as well as the inadequate and incomplete nautical charting and aids to navigation in many portions of the Arctic Ocean. ¹²

Anticipating the impacts of climate change, the Navy will take deliberate steps to prepare for near-term (2014-2020), mid-term (2020-2030), and far-term (beyond 2030) Arctic Ocean operations. As security conditions change and the Arctic Region becomes more accessible, the Navy will re-evaluate its preparedness. The Navy must make targeted investments in Arctic capabilities to hedge against uncertainty and safeguard enduring national interests.



Figure 2: On April 19, 2004, the Los Angeles class attack submarine USS Hampton (SSN-767) surfaced at the geographic North Pole. (United States Navy photo)

1. Purpose

The intent of this Roadmap is to ensure United States Navy forces are prepared to operate in the Arctic Region to promote stability and protect national interests when needed. It provides the Navy's revised strategic guidance for the Arctic Region, as well as an implementation plan tempered by fiscal and operational realities. Additionally, this Roadmap carries forward certain specified tasks from the 2009 Roadmap and completed tasks requiring periodic review.

2. Policy Guidance and United States National Interests in the Arctic

Since publication of the Navy's Arctic Roadmap in 2009, several strategic guidance documents have been revised and new guidance has been released. This update to the Arctic Roadmap builds on the findings of these documents. The Navy's Roadmap for the Arctic Region is derived from the May 2013 *National Strategy for the Arctic Region* and its Implementation Plan (January 2014) and the November 2013 *Department of Defense Arctic Strategy*. The Roadmap is further guided by the January 2012 *Defense Strategic Guidance: Sustaining the U.S. Global Leadership: Priorities for 21st Century Defense;* July 2010 *Executive Order 13547: Stewardship of the Ocean, Our Coasts, and the Great Lakes (National Ocean Policy);* the May 2010 *National Security Strategy;* the February 2010 *Quadrennial Defense Review;* January 2009 National Security Presidential Directive – 66/Homeland Security Presidential Directive – 25: *Arctic Region Policy;* the October 2007 *Cooperative Strategy for 21st Century Seapower 2007;* and other applicable directives and policies.

The 2010 National Security Strategy identifies two enduring national interests in the Arctic Region that are relevant to the Navy:

- The security of the United States, its citizens, allies and partners; and
- An international order advanced by United States' leadership that promotes peace, security, and opportunity through stronger cooperation to meet global challenges.

The 2013 National Strategy for the Arctic Region identifies two lines of effort relevant to the Navy:

- Advance United States' security interests; and
- Strengthen international cooperation.

In November 2013, the Secretary of Defense published the *Department of Defense Arctic Strategy*, identifying two supporting objectives to the National Strategy:

- Ensure security, support safety, and promote defense cooperation; and
- Prepare for a wide range of challenges and contingencies.

In addition to these objectives, the Department of Defense strategy identifies the following actions it will pursue to accomplish these objectives:

- Exercise sovereignty and protect the homeland;
- Engage public and private sector partners to improve domain awareness in the Arctic Region;
- Preserve freedom of the seas in the Arctic Ocean;

- Evolve Arctic Region infrastructure and capabilities consistent with changing conditions;
- Support existing agreements with allies and partners while pursuing new ones to build confidence with key Regional partners;
- Provide support to civil authorities, as directed;
- Partner with other departments and agencies and nations to support human and environmental safety; and
- Support the development of the Arctic Council and other international institutions that promote regional cooperation and the rule of law.

The overarching national security objective is a safe, stable, and secure Arctic Region where the national interests of the United States are advanced and the homeland is protected. The Navy requires Arctic Ocean access to support and protect national interests in the Arctic Region, either independently or in conjunction with other U.S. agencies and partner nations.

3. The Evolving Arctic Region Security Environment

Three primary strategic drivers will determine the extent and timing of potential maritime and naval activity in the Arctic region: (1) *Environmental Conditions*, (2) *Economic Interests and Strategic Resources*, (3) *Geopolitical Dynamics*. ¹³

(1) Environmental Conditions

The Arctic is warming faster than the rest of the globe. In the past 100 years, average Arctic temperatures have increased at almost twice the global average rate. ¹⁴ Average Northern

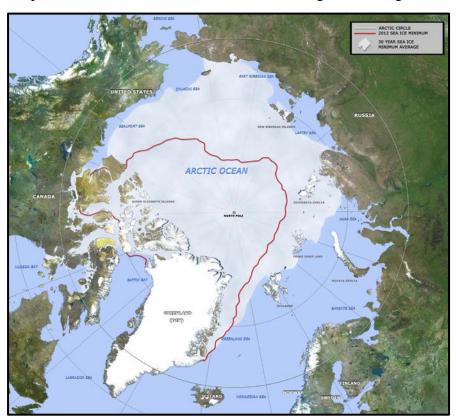


Figure 3: This graphic compares the 30-year sea ice minimum average with the 2012 historical minimum, inside the red line. (United States Navy graphic)

Hemisphere temperatures during the second half of the 20th century were very likely higher than during any other 50-year period in the last 500 years. 15 In 2012. Arctic sea ice reached its smallest extent in recorded history, 1.3 million square miles. 16 The reduction in ice extent has led to an increase in human activity, in resource extraction, fishing, and tourism. Nevertheless, any endeavor in the Arctic Region will have to overcome environmental challenges in the coming decades as the region warms and the ice continues to recede. With less sea ice cover, the ocean absorbs more heat from the sun during summer, increasing the temperature contrast between the warm ice-free ocean and cold ice surfaces in autumn. This increase in temperature contrast could lead to the development of more frequent and more intense Arctic cyclones. The stronger thermal contrast may also lead to increased likelihood of fog. The impact of reduced sea ice on Arctic weather patterns remains an area of great uncertainty.

To inform this Roadmap update, the Navy assembled a team of Arctic Region subject matter experts from the staffs of the Oceanographer of the Navy; the Chief of Naval Research; Commander, Naval Meteorology and Oceanography Command; Commander, Office of Naval Intelligence; and the President of the Naval Postgraduate School. Advised by additional experts from the National Oceanic and Atmospheric Administration (NOAA), the National Ice Center, the United States Coast Guard, and civilian academia, the team conducted an exhaustive review of current research on Arctic Ocean sea-ice projections in support of naval planning requirements. (A listing of the most influential references is provided in Appendix 1.) This team of experts developed the following consensus assessment, broken into near-, mid-, and far-term time frames:

Near-term: Present to 2020.

Reduction of Arctic Ocean sea ice is expected to continue, and major waterways will become increasingly open. By 2020, the Bering Strait is expected to see open water¹⁷ conditions up to 160 days per year, with 35-45 days of shoulder¹⁸ season. The Northern Sea Route (see Fig. 5) will experience up to 30 days of open water conditions, with up to 45 days of shoulder season conditions. Analysis suggests that the reliable navigability of other routes, including the Transpolar Route and the Northwest Passage, is limited in this timeframe. There will be shoulder season route variability based upon ice age, melt, and movement.

Arctic Sea Route Navigability

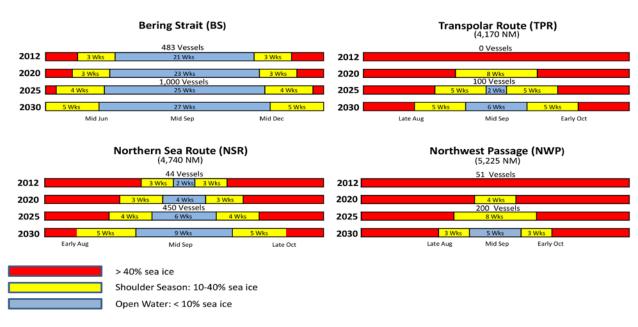


Figure 4: Arctic transit routes availability. Vessel projections courtesy of the Office of Naval Intelligence. (United States Navy graphic)

Mid-term: 2020 to 2030.

This period will see increasing levels of ice melt and increasingly open Arctic Ocean waters. By 2025, the Bering Strait will see up to 175 days of open water (and 50-60 days of shoulder season). These figures increase to 190 days of open water (and up to 70 days of shoulder season) by 2030. For the Northern Sea Route, predictions are for up to 45 days of open water (with 50-60 days of shoulder season) by 2025, increasing to 50-60 days of open water by 2030 (with up to 35 days of shoulder season conditions). This period will begin to see greater accessibility of the Transpolar Route, which is forecast to be open for up to 45 days annually, with 60-70 days of shoulder season. Analysis suggests the reliable navigability of the Northwest Passage will continue to remain limited in this timeframe.

Far-term: Beyond 2030.

In the far-term, environmental conditions are expected to support even greater and more reliable maritime presence in the region. Major waterways are predicted to be consistently open, with a significant increase in traffic over the summer months. The Northern Sea Route and Transpolar Route should be navigable 130 days per year, with open water passage up to 75 days per year. The Northwest Passage will be increasingly open during the late summer and early fall.

(2) Economic Interests and Strategic Resources

The Arctic Region has regained importance since the end of the Cold War, as the retreat of sea ice allows for the potential extraction of resources. The United States Geological Survey estimates undiscovered conventional oil and gas resources at approximately 90 billion barrels of oil, 1,669 trillion cubic feet of natural gas, and 44 billion barrels of natural gas liquids. ¹⁹ These deposits equate to about 30 percent of the world's undiscovered natural gas resources, 13 percent of the world's undiscovered oil resources, and 20 percent of the world's liquid natural gas resources. In total, approximately 22 percent of the world's undiscovered hydrocarbon reserves could potentially be found in the Arctic Region. ²⁰ In time, the Northern Sea Route, Transpolar Route, and Northwest Passage will offer shorter transit routes between ports in the Pacific and Atlantic. The 2011 Navy Arctic Mission Analysis stated the following:

Near-term: Present to 2020.

Robust transit shipping will be unlikely in the near-term due to harsh weather, high sea states, and economy-of-scale limitations. Destination shipping in the region along the Northern Sea Route is likely to increase, especially in the Chukchi Sea and the waters off of eastern Russia and Norway, where oil, gas, and mineral exploration, tourism, and fishing appear most viable. Fishing in the United States' exclusive economic zone (EEZ) will remain under a moratorium while the effects of climate change on fish stocks are examined. Exploitation of energy and mineral resources in the Arctic will remain in the exploratory stages.

Mid-term: 2020 to 2030.

The challenges of transit shipping through the Arctic Ocean, such as schedule unpredictability due to weather, sub-seasonal route variability, and economy-of-scale limitations, will continue to limit commercial interest. Though maritime commerce is expected to grow as passage through the Northern Sea Route and Transpolar Route becomes more reliable, the total shipping volume

will remain small (less than 2 percent of global maritime traffic). Tourism, to include cruise ship traffic, will continue to increase in the region as accessibility grows. The level of activity in oil, gas, and mining exploration and extraction will depend on global supply and demand and will be tempered by the cost and risk associated with developing proven reserves. Advances from exploration will create demand for robust infrastructure and services along key routes to field development and production. Non-Arctic Region nations will become more present in the Region, particularly to fish, as fishing stocks expand their northern migratory reaches.

Far-term: Beyond 2030.

The exploitation of oil, gas, and mineral resources is expected to continue, resulting in additional maritime traffic to the region as production and transportation models are established and sustained. Fishing in the Region will continue to rise, requiring the United States and other Arctic Region nations to monitor and regulate this activity to ensure sustainable levels of harvesting. The growing economic environment and increased amount of international community activity will require updated international regulations.

The importance of this Region, especially in regard to strategic resources for the United States, could be significant. The projected strategic value of the oil, gas, and other natural resources likely to be found in the Alaskan Arctic indicates that the United States may be eligible to claim one of the largest and richest extended continental shelf sectors in the world, measuring two to three times the size of California.²⁴ The mean estimated undiscovered, technically-recoverable crude oil off of the Alaskan Arctic is 30 billion barrels which equates to one-third of total Arctic Ocean crude oil resources.²⁵

(3) Geopolitical Dynamics

Since the end of the Cold War, the military threat environment in the Arctic Region has diminished significantly and the risk of armed conflict in the Arctic Region is projected to remain low for the foreseeable future. ²⁶ As opposed to combat-related missions, Navy forces are far more likely to be employed in the Arctic Region in support of Coast Guard search and rescue, disaster relief, law enforcement, and other civil emergency/civil support operations.²⁷ There is a willingness among Arctic Region nations to manage differences through established international mechanisms. The Arctic Council consists of representatives from the eight Arctic nations: Canada, Denmark (representing Greenland and the Faroe Islands), Finland, Iceland, Norway, Sweden, the Russian Federation, and the United States. It serves as a useful forum for promoting cooperation, coordination, and interaction. Arctic nations have a strong economic incentive to preserve this historically stable, non-contentious environment for commercial development. Though the United States has not acceded to the United Nations Convention on the Law of the Sea (UNCLOS), the United States has long considered its provisions related to traditional ocean uses as reflecting customary international law. It serves as the legal framework for important rights and obligations in the Arctic Ocean including the delineation of the outer limits of the continental shelf, protection of the marine environment, freedom of navigation, military survey, and marine scientific research for the region.

In May 2008, the states bordering the Arctic Ocean (the United States, Canada, Greenland, Norway, and the Russian Federation) signed the *Ilulissat Declaration* which concluded that the Convention was the appropriate legal framework for international cooperation and peaceful resolution of maritime disputes in the Arctic. ²⁸ In May 2011, the Arctic Council signed the *Arctic Search and Rescue Agreement* ²⁹ and in May 2013, the Council states signed an *Agreement on Cooperation on Marine Oil Pollution Preparedness and Response in the Arctic*, ³⁰ demonstrating cooperative behavior to improve safety and environmental procedures in the Arctic Ocean. Moreover, the number of nations and other organizations requesting observer status on the Arctic Council is increasing, showing a growing international interest in the Region and the expanding importance of the Arctic Council.

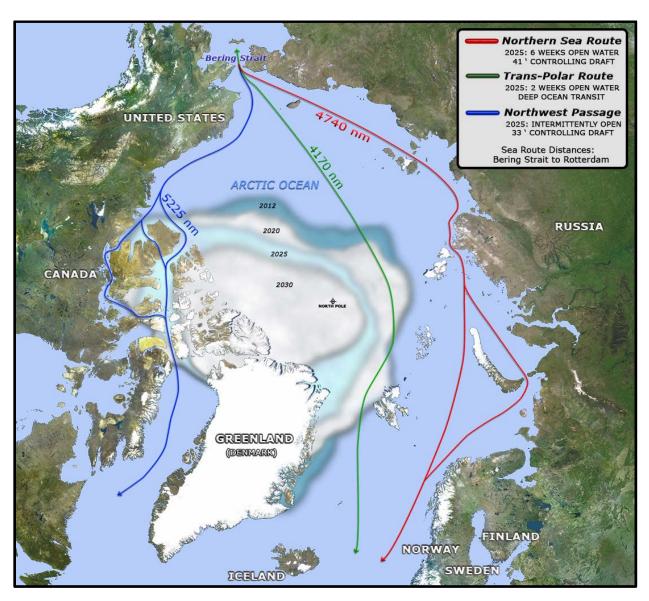


Figure 5: Anticipated future Arctic transit routes superimposed over Navy consensus assessment of sea ice extent minima. (United States Navy graphic)

It remains unlikely that any of the five Arctic littoral states will risk a large-scale, intrastate military conflict. There remains a possibility that tensions could increase due to misperceptions, and rhetoric, as well as the unforeseen dynamics of economic interests in the region.³¹ Excessive

extended continental shelf claims made by Arctic nations to the Commission on the Limits of the Continental Shelf (CLCS) may cause tension and create political uncertainty. Given the resource wealth that could be at stake, a resulting standoff could indeed lead to disputes and military posturing by rival nations. Non-Arctic nations may consider staking a claim to areas outside the resource claims of the Arctic nations, particularly those in the central Arctic Ocean, without acknowledging their obligations under UNCLOS and rejecting the legal control of the areas by the International Seabed Authority (ISA). Another possible source of pressure could come from the migration of fish to previously unreachable fishing grounds where ownership is unclear from one nation's exclusive economic zone to that of another. A combination of these factors contributes to a possibility of localized episodes of friction in the Arctic Region, despite the peaceful intentions of the Arctic nations.

4. United States Navy Strategic Objectives for the Arctic Region

Based on the drivers, trends, and predictions noted above, and in alignment with higher level guidance, the Navy strategic objectives for the Arctic Region are:

- Ensure United States Arctic sovereignty and provide homeland defense;
- Provide ready naval forces to respond to crisis and contingencies;
- Preserve freedom of the seas; and
- Promote partnerships within the United States Government and with international allies.

Ensure United States Arctic sovereignty and provide homeland defense. A primary Navy responsibility is to protect the homeland, its citizens, and critical infrastructure. The changing environment may create new opportunities and security challenges in the "high north." The Navy will protect American sovereign rights and jurisdiction through flexible, periodic presence, and contribute to homeland defense in conjunction with the Joint Force. The Navy will ensure it remains prepared to operate in the Arctic Region to counter any threats to the homeland that may arise.



Figure 6: In 2007, the guided missile cruiser USS Normandy (CG-60) approached an ice field in waters north of Iceland. (United States Navy photo)

Provide ready naval forces to respond to crisis and contingencies.

Environmental information, safety at sea and in the air, communication and data challenges, infrastructure, and regional expertise are some, but not all, of the current gaps and seams that must be overcome to operate in the Arctic Region. The Navy's Arctic Roadmap identifies the capabilities required to operate in Arctic conditions and develops the plan to overcome these gaps and seams. The Navy will further develop doctrine, operating procedures, and tactics,

techniques, and procedures to specifically guide operations in the Arctic environment. The

Roadmap directs review and identification of requirements for improvements to platforms, sensors, and weapons systems that facilitate sustained, safe operations in the Region. This includes cold-weather training, a better communications architecture, and logistical support. The geography and climate of the Arctic Region will pose challenges to naval logistics. The Navy must examine the role and limitations of operational energy access including: how fuel will be distributed to the Region, to air and surface platforms, and how naval personnel deployed to the Region will be trained in energy conservation and environmentally sustainable practices. An increased knowledge of the physical environment will help the Navy better predict ice conditions, shifting navigable waterways, and weather patterns to aid in safe navigation and operations at sea. The Navy will grow Arctic expertise and experience through increased research and information sharing among our allies and partners. Finally, improvement in operational readiness through education, knowledge, training, and research will allow the Navy to provide a quick response to Arctic Region contingency operations.

Preserve freedom of the seas. Access to the global commons and freedom of the seas are a national priority. The Navy will support access for the safe, secure, and free flow of resources and commerce in the Region. Strategic resources and trade routes will be a primary driver for Arctic and non-Arctic nations alike to seek economic prosperity. The Navy will contribute to stability and security as economic activity increases.

Promote partnerships within the United States Government and with international allies in support of security and safety. The Arctic Region poses unique operational challenges beyond the weather to include communications and navigational hazards. These challenges provide opportunities to cooperate with interagency partners and international allies, sharing limited resources to improve situational awareness and develop a Common Maritime Picture (CMP) of the Arctic Ocean. In conjunction with interagency and international partners, the Navy will seek to improve Maritime Domain Awareness (MDA), information sharing, and communications. Currently, Arctic MDA is assessed as adequate. However, as traffic and Regional activity rise in the coming decades, the Navy will seek to improve overall MDA capability. To build the ties of trust and confidence that underpin strong alliances and partnerships, it is essential to operate and train together. Multilateral training, operations, and exercises in the Arctic Ocean such as NORTHERN EAGLE³² and NANOOK³³ will improve knowledge of the Region and provide a positive foundation for future missions.

5. United States Navy Leadership Role and Missions in the Arctic Region

The Navy will continue to have a significant leadership role in the Arctic Region to enable the joint and interagency community to operate in this hard-to-reach, isolated, and harsh environment. Through its global reach capability and worldwide command and control, Navy leadership will support joint and interagency efforts, enhance information sharing, and develop enterprise solutions that can be employed across United States Government and allied partner agencies operating in the Region.

To improve MDA, weather and ocean prediction, and safety of navigation, the Navy will continue to work closely with the National Geospatial-Intelligence Agency (NGA), NOAA, the

Department of Homeland Security (DHS), other interagency partners, as well as Arctic and non-Arctic nations. As the Department of Defense Executive Agent for MDA, the Navy plays a lead role in interagency and international efforts to share maritime information. Additionally, Navy has Title 10 responsibilities to "maximize the safety and effectiveness of maritime vessels, aircraft, and forces of the armed forces" ³⁴ by means of marine data collection, numerical weather and ocean prediction, and forecasting of hazardous weather and ocean conditions. The Navy may extend similar support to coalition forces that are operating with United States' forces. Title 10 also charges the Navy to collect, process, and provide hydrographic information to NGA to support preparation of maps, charts, books, and geodetic products by that agency.

The Navy executes several key missions in concert with joint forces, interagency stakeholders, and allies and partners, to protect sovereignty, ensure freedom of the seas, and defend the homeland in order to maintain stability and prevent conflict in the Arctic Region. The Navy will maintain the capability to influence adversaries with a skilled force that is trained and equipped to operate in the Arctic environment. The key functions and missions the Navy will lead or support in the coming decades are:

Maritime Security. Arctic nations are aligned in their support for enhanced safety and security in the Arctic Region. The Navy will continue to operate in the Arctic Region and be ready to conduct maritime patrol and maritime interception operations, and support Coast Guard operations as required.

Sea Control. ³⁵ The Navy has a global responsibility to protect vital sea lanes and operating areas, including defending the Nation's maritime borders and EEZs. The geostrategic importance of the Bering Strait will increase as resource extraction, shipping, fishing, and tourism increases. The Navy will be forward deployed and prepared to protect United States' maritime access and interests as the Arctic Ocean sea lanes begin to open.

Power Projection. ³⁶ Naval forces provide a flexible and versatile option to ensure national interests are protected. The Navy's unique capabilities allow it to rapidly and effectively deploy and sustain forces in and from multiple dispersed locations to respond to crises, contribute to deterrence, and to enhance regional stability.

Freedom of Navigation. United States' policy since 1983 provides that the United States will exercise and assert its navigation and overflight rights and freedoms on a worldwide basis in a manner that is consistent with customary international law. The Navy will guarantee freedom of navigation in Arctic Ocean waters and help ensure the free flow of commerce on the global commons.

Search and Rescue (SAR). The extreme distances, limited infrastructure, and assets make SAR challenging in the Arctic Region. The Navy will provide support as required to search and rescue missions conducted and led by the Coast Guard and as directed in support of international partners.

Disaster Response/Defense Support of Civil Authorities (DSCA). The movement of resources through the air or on the sea across great distances by naval forces trained and equipped to support other United States Government agencies in the Arctic Region may be required. The Arctic environment, combined with increasing maritime traffic and energy exploration, may increase the probability of a maritime or environmental disaster occurring in the mid-to-long term. The Navy will remain ready to support critical and likely missions such as pollution response and SAR; integrated planning efforts with local, state, federal, and native communities; strengthen interoperability with the Coast Guard and international partners; and develop processes, procedures, joint training, and exercises to gain operational proficiency.

6. United States Navy Ways and Means for Near-Term, Mid-Term, and Far-Term Operations

Near-term: Present to 2020.

The Navy will continue to provide capability and presence primarily through undersea and air assets. Surface ship operations will be limited to open water operations in the near-term. Even in open water conditions, weather factors, including sea ice, must be considered in operational risk assessments. During shoulder seasons, the Navy may employ ice strengthened Military Sealift Command (MSC) ships to conduct Navy missions.

By 2020, the Navy will increase the number of personnel trained in Arctic operations. The Navy will grow expertise in all domains by continuing to participate in exercises, scientific missions, and personnel exchanges in Arctic-like conditions. Personnel exchanges will provide Sailors with opportunities to learn best practices from other United States' military services, interagency partners, and international allies and partners.

The Navy will refine or develop the necessary strategy, policy, plans, and requirements for the Arctic Region. Additionally, the Navy will continue to study and make informed decisions on pursuing investments to better facilitate Arctic operations. The Navy will emphasize low cost, long-lead time activities to match capability and capacity to future demands. The Navy will update operating requirements and procedures for personnel, ships, and aircraft to operate in the Region with interagency partners and allies. Through ongoing exercises, such as Ice Exercise (ICEX) and Scientific Ice Expeditions (SCICEX)³⁷ research, and transits through the region by Navy submarines, aircraft and surface vessels, the Navy will continue to learn more about the evolving operating environment. The Navy will focus on areas where it provides unique capabilities and will leverage joint and coalition partners to fill identified gaps and seams.

Mid-term: 2020 to 2030.

By 2030, the Navy will have the necessary training and personnel to respond to contingencies and emergencies affecting national security. As the Arctic Ocean becomes increasingly ice-free, surface vessels will operate in the expanding open water areas. The Navy will improve its capabilities by participating in increasingly complex exercises and training with regional partners. While primary risks in the mid-term will likely be meeting search and rescue or disaster response mission demands, the Navy may also be called upon to ensure freedom of navigation in Arctic Ocean waters. ³⁸ The Navy will work to mitigate the gaps and seams and transition its

Arctic Ocean operations from a capability to provide periodic presence to a capability to operate deliberately for sustained periods when needed.

Far-term: Beyond 2030.

In the far-term, Navy will be capable of supporting sustained operations in the Arctic Region as needed to meet national policy guidance. The Navy will provide trained and equipped personnel, along with surface, subsurface, and air capabilities, to achieve Combatant Commander's objectives. The high confidence of diminished ice coverage and navigable waterways for much of the year will enable naval forces to operate forward, ready to respond to any potential threat to national security, or to provide contingency response. Far-term risks include increased potential for search and rescue and DSCA, but may also require naval forces to have a greater focus on maritime security and freedom of navigation in the Region.

7. Roadmap Execution

Appendix 2 identifies completed actions of the 2009 Roadmap. Appendix 3 provides updated actions for the implementation of the 2014-2030 Roadmap. The action items are assigned to responsible Navy offices according to the doctrine, organization, training, materiel, leadership and education, and personnel and facilities (DOTMLPF-P) process with suspense dates for completion. Supporting organizations are identified but are not limited to those listed. Lastly, metrics will be developed for tracking and periodic reports will be provided to the Chief of Naval Operations (CNO).

8. Conclusion

The Arctic Region, with its vast expanse, severe climate, and rich natural resources, is a challenge and an opportunity for the Navy. Naval security and international naval cooperation have always been critical components of United States' Arctic policy. As the Arctic Ocean opens, these components will increase as activity rises. This Navy Arctic Roadmap update underscores the need to develop strong cooperative partnerships with interagency and international Arctic Region stakeholders. It acknowledges the role climate change plays in energy security, research and science, the economy, fisheries, tourism, the assertion of sovereignty, and other related issues. To be prepared to address the emerging challenges caused by the opening of the Arctic Ocean waters, this Roadmap recognizes that changes in the environment must be continuously examined and taken into account. The Navy will take deliberate steps to anticipate and prepare for Arctic Region operations in the near-term (2014-2020), mid-term (2020-2030), and far-term (beyond 2030). The key will be to balance potential investments with other Service priorities.

Appendix 1

Primary References Used to Inform the Arctic Sea Ice and Sea Lane Predictions

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2009 Roadmap Completed Actions

- Analysis of the Strategic Environment
- Arctic Mission Analysis
- Increased participation in discussions with the U.S. Coast Guard and Arctic Nation Navies
- Formalized strategic objectives for the Arctic
- Articulated Unified Command Plan (UCP) position for the Arctic, and the Arctic features prominently in the Navy Strategic Plan
- Assessed Fleet Arctic Readiness
- Increased operations in the Arctic:
 - o In 2009, the Navy deployed the aircraft carrier JOHN C. STENNIS north of the Arctic Circle.
 - In 2010, the Navy deployed USS PORTER north of the Arctic Circle in support of exercise NANOOK 2010.
 - o In 2011, the Navy brought USS NEW HAMPSHIRE and USS CONNECTICUT to an organized science exercise (SCICEX) beneath an ice station.
 - o In 2012, the cruiser USS LAKE ERIE and destroyer USS DECATUR operated north of the Arctic Circle.
 - o In 2012, the destroyer USS FARRAGUT operated in the Barents Sea in support of NORTHERN EAGLE, a combined Russian-U.S.-Norwegian exercise.
 - In 2012, Naval Undersea Warfare Center and NASA operated Unmanned Undersea Vehicles (UUVs) to image icebergs along east coast of Greenland.
 - During odd-numbered years, Navy participates in the joint Northern Edge exercise in the Gulf of Alaska.
- GLOBAL SHIPPING GAME at NWC in 2010
- FLEET ARCTIC OPERATIONS GAME at NWC in 2011
- Arctic Capability Based Assessment (CBA)
- Included Arctic requirements in Navy Sponsor Program Proposals for POM-14
- Development and implementation of Strategic Outreach and Strategic Communications plans
- Arctic Environmental Observation and Prediction CBA
- Continuation of the SCICEX program
- Contributed to development of the National Ocean Policy for the Arctic
- ONR established a new "Arctic and Global Prediction" Program to address Arctic S&T needs identified by the Navy, addressing basic research in Arctic physical sciences, technology development, and prediction capability development at multiple lead times.

Appendix 3

Arctic Roadmap Implementation Plan

1.1 Strategy, Policy, Missions and Plans

Actions	Lead	Support	DOTMLPF	Suspense
1.1.1: Establish a working group to codify near-term and potential mid-term requirements to inform POM-16 guidance and annually thereafter.	OPNAV N9	OPNAV N1 OPNAV N2/N6 OPNAV N3/N5 OPNAV N4 OPNAV N9 EUCOM NORTHCOM USFFC/CPF	D	Q1, FY14
1.1.2: Identify metrics suitable for CNO progress reports on Section 1.1 (Strategy, Policy, Missions, and Plans) of Arctic Roadmap.	OPNAV N3/N5	Director TFCC TFCC	D	Q3, FY14
1.1.3: Advocate that OSD designate SECNAV as the Department of Defense (DOD) Executive Agent for the Arctic.	OPNAV N3/N5		D	Q3, FY14
1.1.4: Reflect the Arctic objectives in Guidance for Employment of the Force (GEF).	OPNAV N3/N5	OPNAV N2/N6 OPNAV N4 OPNAV N8 OPNAV N9 USFFC/CPF ONR	D	Q3, FY14
1.1.5: Incorporate specific required Navy Arctic capabilities in the Classified Annex to CS-21R.	OPNAV N3/N5		D	Q3, FY14
1.1.6: Incorporate the Classified Annex to CS-21R guidance relating to Arctic capabilities in Sponsor Program Proposals for POM-16 and annually thereafter.	Resource Sponsors	OPNAV N2/N6 OPNAV N4 OPNAV N8 OPNAV N9 USFFC ONR	D	Q3, FY14 (FY14- 20)
 1.1.7: Conduct Arctic intelligence and front-end security assessment and provide report to CNO to inform POM-16 and annually thereafter. Characterize current and predicted threats to the Arctic region in 2020, 2030, and 2040. Focus on threats to U.S. national security, although threats to maritime safety and security, as well as energy security and resilience will be considered. Assess range of potential environmental conditions For range of conditions that might occur, assess how access and activities in the Arctic might evolve How this impacts national/maritime safety and security and implications for USN/USCG (and joint & coalition) capability/capacity Compare the projected time for Arctic environmental and activity changes with the time needed to develop required capabilities Consider interdependencies between actors and actions in the Arctic and how incentives and decisions are influenced by other actors' decisions. 	OPNAV N2/N6	OPNAV N3/N5 OPNAV N4 OPNAV N9 CNE USFFC CPF NWC NPS OJAG ONI ONR USCG USNA	D	Q3, FY14
1.1.8: Develop Arctic engagement plan focusing on partnerships with international, interagency and private sector stakeholders that enhance Arctic security.	OPNAV N3/N5	DUSN PPOI	D, O	Q3, FY14

1.1.9: Incorporate Arctic engagements in Navy Campaign Support Plan.	OPNAV N3/N5	OPNAV N2/N6 OPNAV N4 OPNAV N8 OPNAV N9 USFFC/CPF NWDC OJAG ONR	D	Q4, FY14
1.1.10: Ensure adequate environmental compliance (Marine Mammal Protection Act, Endangered Species Act, National Environmental Policy Act and Executive Order 12114) for at-sea training and testing activities in the Arctic regions.	USFFC	OPNAV N3/N5 OPNAV N4 ONR NAVAIR NAVSEA	D	Q1, FY14
 Prepare Arctic compliance strategy and include in POM 16 submittal. Gather training and testing activities requirements to determine 				Q1, F114 Q1, FY15
 environmental coverage needs Initiate and execute planning and compliance documentation pending OPNAV resource sponsor funding. 				Q2, FY16
1.1.11: Continue to advocate for U.S. accession to the United Nations Convention on the Law of the Sea (UNCLOS) as determined by Department of State (taking into account Senate reception). As required, provide strategy, policy and operational support for U.S. accession to UNCLOS as applicable to Navy's interests in the Arctic.	OJAG	OPNAV N3/N5 CHINFO OLA	D	Ongoing
 Talking points, information papers, or briefings for senior Navy leadership as requested. 				
Continue to participate in any/all interagency working groups in support of U.S. accession efforts.				

2.0 Operate Safely and Proficiently in the Arctic

2.1 Operations and Training

Actions	Lead	Support	DOTMLPF	Suspense
2.1.1: Identify metrics suitable for CNO progress reports on Section 2.1 (Operations and Training) of Arctic Roadmap.	USFFC	Director TFCC TFCC	D	Q3, FY14
2.1.2: Continue submarine inter-fleet transfers through Arctic.	USFFC/CPF	OPNAV N3/N5 ASL C6F COMSUBFOR MSC	Т	FY14-20
 2.1.3: Direct TYCOMs to update Fleet guidance on Arctic operations to include: Planned operations in the Arctic to begin defining requirements and refining capability gaps Operational risk management model that properly identifies the risks associated with operating in the Arctic based on current capabilities and observed weather conditions Assessment of Fleet doctrine for adequacy 	USFFC/CPF	OPNAV N3/N5 MSC	D, O, T, M, L	Q1, FY15
2.1.4: Develop personnel exchange program with regional partners.	OPNAV N1	OPNAV N3/N5 C6F MSC USFFC/CPF USCG	T, L, P	Q1, FY15
2.1.5: Determine adequacy of Navy supply system to support unit deployments to the Arctic region. Upon requirements determination by USFFC, ensure a baseline inventory of material is available and address significant deficiencies that could compromise energy and material resiliency, placing units at risk for near-term Arctic operations.	OPNAV N4 NAVSUP	MSC TYCOMS USFFC NAVAIR NAVSEA DLA USCG USMC	M	Q1, FY15
2.1.6: Update U.S. Navy Cold Weather Handbook for Surface Ships (1988).	OPNAV N9	USFFC/CPF NWDC	D, O, T	Q1, FY15
2.1.7: Direct TYCOMs to generate guidance and training requirements. Guidance shall: • Evaluate Arctic training capabilities • Address significant deficiencies that increase risk for near-term Arctic operations • Include Arctic material in training curriculums to improve the Fleet's understanding of the Arctic	USFFC/CPF	OPNAV N1 OPNAV N3/N5 OPNAV N8 OPNAV N9 CPF TYCOMS NETC NPS NWC NWDC	D, T, L	Q1, FY15 Q2, FY15 Q2, FY15
2.1.8: Identify requirements to establish Arctic Center of Excellence.	OPNAV N2/N6	USNA NPS NWC ONI ONR USNA	T	Q4, FY15

2.1.9: Develop a long range exercise and training plan that prioritizes and increases participation/visibility in scheduled Arctic exercises, such as: • Arctic Edge (Bi-Annual) • Arctic Shield (Annual) • BALTOPS (Annual) • Cold Response (Annual) • FRUKUS (Annual) • ICEX (Tri-Annual) • Northern Challenge (Annual) • Northern Eagle (Bi-Annual) • Northern Edge (Bi-Annual) • Northern Edge (Bi-Annual) • Arctic Zephyr (Annual) • For each exercise, provide lessons learned to NWDC for retention and action. • For those exercises identified as priorities, resource participating platforms and personnel. • Annually assess new opportunities for Arctic training.	USFFC	OPNAV N2/N6 OPNAV N3/N5 OPNAV N4 OPNAV N8 OPNAV N9 TFCC C6F COMSUBFOR CPF ASL MSC TYCOMS NAVSEA NWC NWDC USCG	L, T, M	Q1, FY16 (FY16- 20)
2.1.10: Develop Arctic CONOPs for Naval platforms and update as new capabilities are developed.	USFFC	OPNAV N3/N5 OPNAV N4 NAVSEA NWDC	D, O, T, M, L, P, F	Q1, FY18
2.1.11: Integrate the testing of sensors and systems into Arctic exercises and ops.	USFFC	OPNAV N3/N5 OPNAV N4 NAVSEA NWDC ONR	D, O, T, M, L, P, F	Q1, FY18

2.2 Science and Technology

2.2 Science and Technology				
Actions	Lead	Support	DOTMLPF	Suspense
2.2.1: Identify metrics suitable for CNO progress	ONR	Director TFCC	D	Q3, FY14
reports on Section 2.2 (Science and Technology) of Arctic Roadmap.		TFCC		
2.2.2: Incorporate Arctic related science and	ONR	OPNAV N2/N6	M	Q3, FY14
technology (S&T) requirements and emphasize	J1110	OPNAV N2/N0	171	Q2,111 1
within the Classified Annex to CS-21R. Include		OPNAV N8		
cyber and non-kinetic weapon systems.		TFCC		
		USFFC NAVSEA		
		NWDC		
2.2.3: Establish SCICEX as a priority. When	OPNAV N9	USFFC	T, M	FY14-20
operational requirements permit, SCICEX accommodation missions (SAMs) will be		COMSUBEOR		
conducted according to the Science Plans agreed		COMSUBFOR ASL		
to by the SCICEX Science Advisory and		CNMOC		
Interagency Committees.		ONR		
2.2.4: Support and improve access to previously classified information to be used by climate	OPNAV N2/N6	USFFC	M	Q3, FY15
research community. Continue, and seek		CNMOC ASL		
opportunities, to improve U.S. Navy collaboration		NIPO		
and cooperative involvement with non-U.S. Navy		ONR		
entities in the Measurements of Earth Data for				
Environmental Analysis (MEDEA) Program. 2.2.5: Provide S&T plans for Arctic Assessment	ONR	OPNAV N8	M	Q4, FY15
and Prediction to include:	J1110	TFCC	171	V 1, I I I J
 UUV/unmanned aerial vehicle (UAV) 		USFFC/CPF		
performance in the Arctic		CNMOC		
Waves and swell in the Arctic		ONI NAVSEA		
Arctic Ocean circulation and stratification		SPAWAR		
Acoustic propagation in the Arctic environment				
 Sea level rise and mass balance of glaciers and ice sheets 				
Impact of Arctic environment on Naval systems				
 Development of new technologies and adoption of existing technologies (e.g., sensors, platforms and communications) for sustained operation and observation 				
in the Arctic				
 Socio-economic and geopolitical issues that might drive future Naval activity in the Arctic 	OPNAV N2/N6			
2.2.6: Increase ONR's Arctic Research Efforts	ONR	OPNAV N2/N6	M	FY16-20
and brief milestones annually to Chief of Naval Research. Improving the Navy's ability to		CNMOC		
understand and predict the Arctic physical		TFCC NPS		
environment at a variety of time and space scales.		NWC		
Sea ice extent forecasting and prediction		NWDC		
 Ice and snow thickness prediction 		USFFC		
Iceberg analysis, lifecycle and dynamics		USNA		
Seasonal Ice Zone Reconnaissance Surveys				
 Ice, sea, air interaction physics 				
 Seasonal and sub-seasonal climate prediction forecasts 				
Improve understanding of the physical environment and processes in the Arctic Ocean.				

2.3 Environmental Observation and Prediction

reports on Section 2.3 (Environmental Observation and Prediction) of Arctic Roadmap. 2.3.2: Develop Arctic environmental observing and prediction or gagement plan focusing on cooperative partnerships with international, interagency and private sector stakeholders that enhance Arctic environmental observation and mapping. 2.3.3: Produce a holistic Arctic environmental sensing plan (ocean, surface, sub-surface and space based) to close validated gaps. Plan will include: • Focus on acoustic data to support antisubmarine warfare (ASW) operations • Sensing strategy • Implementation and fielding • Use of unmanned systems for Arctic data collection, monitoring, and research 2.3.4: Improve traditional meteorological forecast capability in the polar regions through the following: • Evaluate current capability • Determine improvement areas • Define required investment 2.3.5: Encourage research into and development ocomprehensive Arctic System Models (ocean-ice-wave-atmosphere) for forecasts at multiple time scales, including activities to quantify and characterize uncertainty in long range climate and ice forecasting capabilities. 2.3.6: Ensure Arctic requirements (environmental observation and prediction capability gaps regarding Arctic operations identified in previous CBAs and will include, but not be limited to: • S&T needs • Research and development (R&D) requirements commendations relating to the Navy's capability gaps regarding Arctic operations identified in previous CBAs and will include, but not be limited to: • S&T needs • Research and development (R&D) requirements commendations relating to the Navy's capability gaps regarding Arctic operations identified in previous CBAs and will include, but not be limited to: • S&T needs • Research and development of the Navy's capability gaps regarding Arctic operations identified in previous CBAs and will include, but not be limited to: • S&T needs • Research and development of the Navy's capability gaps regarding Arctic operations identified in previous CBAs and wi	Actions	Lead	Support	DOTMLPF	Suspense
2.3.2: Develop Arctic environmental observing and prediction engagement plan focusing on cooperative partnerships with international, interagency and private sector stakeholders that enhance Arctic environmental observation and mapping. 2.3.3: Produce a holistic Arctic environmental sensing plan (ocean, surface, sub-surface and space based) to close validated gaps. Plan will include: • Focus on acoustic data to support antisubmarine warfare (ASW) operations • Sensing strategy • Implementation and fielding • Use of unmanned systems for Arctic data collection, monitoring, and resort operations on the lollowing: • Evaluate current capability • Define required improvement areas • Define required in the support and and prediction capabilities to quantify and the area of the comparison of the support and an arrange planting and the area of the support and the area of the support and the	reports on Section 2.3 (Environmental	OPNAV N2/N6	Director TFCC		Q3, FY14
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2.3.8: Sustain development and participation in Earth System Prediction Capability (ESPC): • Develop the capability for coupled ocean-atmosphere-land-cryosphere modeling in the Navy and focused on seasonal-to-decadal timescale prediction to support strategic decisions related to operations, platforms and facilities. 2.3.9: Develop and execute a CONOPS for USFC OPNAV N2/N6 D, O, T Q3, FY15	Observers / Forecasters (Ice, Ocean and	OPNAV N2/N6	USFFC	D, T, P	FY14-20
2.3.9: Develop and execute a CONOPS for Arctic environmental Observer / Forecaster (Ice, OPNAV N3/N5 D, O, T OPNAV N3/N5 OPNAV N3/N5	2.3.8: Sustain development and participation in Earth System Prediction Capability (ESPC):	OPNAV N2/N6	Director TFCC USFFC CNMOC	O, M	FY14-20
	2.3.9: Develop and execute a CONOPS for	USFFC		D, O, T	Q3, FY15

Ocean and Atmospheric) support to Navy platforms operating in the Arctic that includes organizational structure and location.		CNMOC NWDC USA CRREL USCG		
2.3.10: Update Forecaster's Handbook for the Arctic, 1989.	USFFC	OPNAV N2/N6 CNMOC NRL ONR USCG	O, T	Q1, FY16
2.3.11: Support efforts to research, develop, resource and sustain an Arctic environmental observation system to support U.S. operations (Surface, Subsurface, HA/DR, SAR, and Air) in the Arctic (interagency effort).	OPNAV N2/N6	OPNAV N8 USFFC CNMOC ONR PEO C4I SPAWAR	M	FY16-20

2.4 Safe Navigation

Actions	Lead	Support	DOTMLPF	Suspense
2.4.1: Identify metrics suitable for CNO progress reports on Section 2.4 (Safe Navigation) of Arctic Roadmap.	OPNAV N2/N6	Director TFCC TFCC	D	Q3, FY14
2.4.2: Initiate an Arctic Nation Navy hydrographic survey data sharing and planning effort.	OPNAV N2/N6	OPNAV N3/N5 TFCC USFFC CNMOC NIPO NOAA USCG	D, L	Q3, FY14
2.4.3: Sustain Arctic Nation Navy hydrographic survey data sharing and planning effort (2.4.2)	OPNAV N2/N6	OPNAV N3/N5 TFCC USFFC CNMOC NIPO NOAA USCG	D, L	FY15-20
2.4.4: Develop multi-year hydrographic/bathymetric survey plan to address prioritized Navy Arctic Basin survey requirements through USFFC Oceanographic, Hydrographic and Bathymetric (OHB) and Fleet Oceanographic Support Workshop (FOSW) process.	USFFC	OPNAV N2/N6 NCCs CNMOC MSC	D, M	Q4, FY14
2.4.5: Ensure Arctic requirements (oceanographic, hydrographic and bathymetric data collection capabilities) are reflected in Sponsor Program Proposals in alignment with Classified Annex to CS-21R.	OPNAV N2/N6	OPNAV N8 USFFC CNMOC	T, M	FY14-20, annually
2.4.6: Continue to foster current and new partnerships (interagency and allied) regarding data exchanges.	OPNAV N2/N6	OPNAV N3/N5 OPNAV N8 TFCC USFFC CNMOC NIPO NOAA	D, L	FY14-20
2.4.7: Leverage USCG, commercial, and partner nation icebreakers for real-world operations and emergencies as required.	USFFC	OPNAV N3/N5 NIPO USCG	D, O	FY14-20
2.4.8: Coordinate with NGA, NOAA and USCG to develop a national hydrographic plan in support of the National Strategy for the Arctic region.	OPNAV N2/N6	OPNAV N3/N5 OPNAV N8 Director TFCC USFFC CNMOC NSF USCG USA CRREL	D, T, M, P	Q1, FY15
2.4.9: Coordinate with USCG to identify safe navigational corridors and NAVAID requirements.	USFFC	OPNAV N2/N6 TFCC CNMOC USCG	O, M	Q4, FY15
2.4.10: Support initiatives of the Commandant of the Coast Guard to define future USCG icebreaker requirements.	Director TFCC	OPNAV N3/N5 OPNAV N2/N6 OPNAV N8 OPNAV N9 NAVSEA USCG	D	FY15-20

2.5 Command, Control, Communications, Computers, Intelligence, Surveillance, and Reconnaissance

Actions	Lead	Support	DOTMLPF	Suspense
2.5.1: Identify metrics suitable for CNO progress reports on Section 2.5 (C4ISR) of Arctic Roadmap.	OPNAV N2/N6	Director TFCC TFCC	D	Q3, FY14
2.5.2: Ensure current and programmed Navy Arctic SATCOM requirements are used in DoD space program development.	OPNAV N2/N6		D, O, T, M, L, P, F	Q2, FY14
2.5.3: Advocate for U.S./Canadian agreement regarding communications and weather Arctic Satellite capability.	OPNAV N2/N6	OPNAV N3/N5 USFFC OJAG	D, O	Q4, FY14
2.5.4: Assess the Classified Annex to CS-21R's guidance, if any, relating to required C4ISR capability in the Arctic, and address these requirements in Sponsor Program Proposals.	OPNAV N2/N6	OPNAV N8 TFCC USFFC	T, M	FY14-20, annually
 2.5.5: Establish Arctic ISR requirements for space, manned and unmanned options. Determine C4ISR interoperability with USCG and USAF 	OPNAV N2/N6	OPNAV N8 OPNAV N9 USFFC USCG	D, O, T, M, L, P, F	Q2, FY15
2.5.6: Ensure the ongoing Protected SATCOM Assessment of Alternatives analyze existing and future high data rate communications in the Arctic.	OPNAV N2/N6	OPNAV N8 USFFC CNMOC	D, O, T, M, L, P, F	Q3, FY14
Determine high data rate requirements				
 Investigate ways to optimize satellite communications in light of ionic disturbances which degrade signals 				
Optimize orbits of most communications satellite constellations to support military communications in the Arctic				
Determine if operational payloads currently in orbit providing continuous satellite coverage above 65°N are sufficient for Navy operations in the Arctic				
Extend the data rate to speed the transmission of imagery				

2.6 Installations and Facilities

2.0 Instanations and Facinties				
Actions	Lead	Support	DOTMLPF	Suspense
2.6.1: Identify metrics suitable for CNO progress reports on Section 2.6 (Installations and Facilities) of Arctic Roadmap.	OPNAV N4	Director TFCC TFCC		Q3, FY14
2.6.2: Identify requirements to establish Aerial Ports of Debarkation (APODs) and Sea Ports of Debarkation (SPODs) in the Arctic.	OPNAV N4	OPNAV N3/N5 CNIC MSC NAVFAC	O, M, F	Q4, FY14
2.6.3: Confirm existing and planned U.S. and international government or industry infrastructure.	OPNAV N4	OPNAV N3/N5 USFFC N3/N5 CNIC	O, M, F	Q4, FY14
 Evaluate capability of existing ports and airfields to support Navy operational requirements 		NIPO		Q4, FY16
2.6.4: Ensure defined Arctic infrastructure requirements are reflected in Sponsor Program Proposals in alignment with Navy Strategic Plan and Classified Annex to CS-21R. (Review annually in context of changing climate.)	OPNAV N4	OPNAV N2/N6 OPNAV N3/N5 OPNAV N9 NAVFAC NAVSUP	M, F	FY14-20
 Identify/develop Arctic installations, airfields and hanger requirements 		TFCC CNIC USA CRREL		
Conduct environmental impact assessments to assure environmental compliance				
2.6.5: Partner with USCG to investigate the feasibility of establishing a deep water port in the Arctic.	OPNAV N4	OPNAV N3/N5 MSC USCG	O, M, F	Q1, FY16

2.7 Platforms, Weapons, Support Equipment, and Sensors

Actions	Lead	Support	DOTMLPF	Suspense
2.7.1: Identify metrics suitable for CNO progress	OPNAV N9	Director TFCC	DOTMET	Q3, FY14
reports on Section 2.7 (Platforms, Weapons, Support Equipment, and Sensors) of Arctic		TFCC		Q 0,1111
Roadmap.				
2.7.2: Identify current capability of existing	OPNAV N9	OPNAV N8	M	Q3, FY14
platforms to operate in open water (<10% sea		NAVSEA		
ice) and shoulder seasons (<40% sea ice). 2.7.3: Identify future platforms and their	OPNAV N9	SYSCOMs OPNAV N2/N6	M	Q3, FY14
engineering requirements that will operate in		OPNAV N4	111	23,1111
open water (<10% sea ice) and shoulder seasons		USFFC		
(<40% sea ice) by mid 2020s.		MSC NWDC		
Surface combatants		NAVSEA		
• Submarines		SYSCOMs		
Aviation platforms				
 Auxiliaries 				
 Maritime Prepositioning Squadron Lighterage 				
 Assault Craft Unit connectors 				
Coastal Riverine Craft				
• UUV/UAVs				
2.7.4: Identify what platform(s) (and how many)	OPNAV N9	OPNAV N4	M	Q3, FY14
will act as Navy's Arctic capable afloat forward		OPNAV N8		
staging base (AFSB) in 2020s.		CPF USFFC		
		NAVSEA		
		SYSCOMs		
2.7.5: Determine if the current required	OPNAV N9	OPNAV N8	M	Q3, FY14
operational capabilities/projected operating environment (ROC/POE) and Table of		NECC SYSCOMs		
Allowance (TOA) equipage of expeditionary		USFFC		
forces and shore based elements of other forces				
(e.g., shore detachments from aviation				
squadrons) provides the capability to support unit deployments to, and operations in, the				
Arctic region.				
2.7.6: Develop a plan to be prepared to	OPNAV N9	OPNAV N8	M	Q3, FY14
execute Arctic expeditionary operations in the near term.		NECC SYSCOMs		
Based on the assessment of the existing		USFFC		
ROC/POE and TOA of Navy units to				
determine which can operate in this				
environment already and are available				
on an ad hoc basis to augment units whose deficiencies place them at risk				
for near-term Arctic operations.				
Assign one or more portions of the				
Navy expeditionary forces the mission				
of providing Combat Service Support,				
including camp support and the provision of expeditionary				
infrastructure appropriate for the Arctic				
environment to deploying forces.				
2.7.7: Determine a strategy for providing Naval	OPNAV N9	OPNAV N8	M	Q3, FY14
Forces the extra TOA required for Arctic operations. Either:		NECC SYSCOMs	1	
Modify the TOA of eligible units to		USFFC		
include extreme cold weather gear.				
Provide a centrally managed inventory				
of cold weather operational support				
material for issue to deploying units.			<u> </u>	

2.7.8: Evaluate requirements for sustainment of forces operating in the Arctic	OPNAV N9	OPNAV N4 OPNAV N8 CPF USFFC MSC SYSCOMs	D, O, M, T	FY14-15
2.7.9: Assess the Classified Annex to CS-21R's guidance relating to required platform, weapons, support equipment, and sensor capabilities in the Arctic, and address these requirements in Sponsor Program Proposals	OPNAV N9	OPNAV N2/N6 OPNAV N3/N5 OPNAV N4 OPNAV N8 USFFC ONR	T, M, P	FY14-20, annually
 2.7.10: Evaluate requirements for expeditionary units to conduct operations in the Arctic. Environments include on ice, ashore, on permafrost, under ice diving, littoral operations and construction including underwater construction in freezing/subzero conditions. Underwater Construction Teams Explosive Ordnance Disposal Teams Naval Mobile Construction Battalions Coastal Riverine Forces Mobile Diving and Salvage Navy Cargo Handling Battalions 	OPNAV N9	OPNAV N4 OPNAV N8 USFFC SYSCOMs	M	Q2, FY15
 2.7.11: Determine weapon and sensor capabilities and requirements in an Arctic environment (surface, subsurface and aviation). Consider ship-borne ice detecting radar requirement Address GPS-Targeting alternatives 	OPNAV N9	SYSCOMs	M	Q4, FY15

2.8 Maritime Domain Awareness

2.0 Wateries Domain Wateress	1			
Actions	Lead	Support	DOTMLPF	Suspense
2.8.1: Identify metrics suitable for CNO progress reports on Section 2.8 (Maritime Domain Awareness) of Arctic Roadmap.	OPNAV N2/N6	Director TFCC TFCC	D	Q3, FY14
2.8.2: Ensure efforts to address JROC-validated MDA gaps account for MDA in Arctic.	OPNAV N2/N6	OPNAV N3/N5	D, O, T, M, L, P, F	Q3, FY14
Influence "ad hoc" Canada/U.S. (CANUS) MDA Roundtable with USCG	OPNAV N2/N6	OPNAV N3/N5 CNE CPF USFFC	D, O, T, M, L, P, F	Q4, FY14
 Execute Information Sharing Services (developed in conjunction with the DoD Executive Agent for MDA) for use by Arctic Nations 		ONI USCG		FY14-17
 Encourage Russia to join as a participant in the Maritime Safety & Security Information System (MSSIS) or similar system 				FY14-20
Pursue standards-based data exchanges to share MDA data among Arctic Nations in keeping with the National MDA Architecture				FY15-20
2.8.4: Review Classified Annex to CS-21R for guidance relating to required capabilities for MDA in the Arctic; include in Sponsor Program Proposals.	OPNAV N2/N6	OPNAV N3/N5 C6F USFFC NWDC USCG	D	FY14-15
2.8.5: Ensure data from Arctic sensors are made available to existing enterprise services/solutions.	OPNAV N2/N6	USFFC	M	FY15-18
2.8.6: Introduce common lexicon for MDA in the Arctic leveraging existing Vessel of Interest (VOI) lexicon.	OPNAV N2/N6	Director TFCC USCG	D	Q2, FY15

3.0 Build Trust and Confidence with Partners

Actions	Lead	Support	DOTMLPF	Suspense
3.1.1: Identify metrics suitable for CNO progress reports on Section 3.1 (Build Trust and Confidence with Partners) of Arctic Roadmap.	CHINFO	Director TFCC TFCC	D	Q3, FY14
3.1.2: Public Communications and Outreach.	CHINFO	OPNAV N8 TFCC	L	Q3, FY14
Update Navy Arctic Outreach & Engagement Plan and decide on frequency of submission				
 Provide DOD assets with Arctic Environmental Assessment Reports, other TFCC products, and information and reports concerning the Arctic DOD, scientific, media, interagency, and international sources 				
 Establish and maintain consistent outreach with, and providing information related to the Navy Arctic Roadmap Attend relevant conferences, such as the 				
Arctic Security Forces Roundtable (ASFR)				
 Coordinate with the Joint Staff (JSJ5) to ensure Navy representation at key Arctic meetings, conferences, etc. (e.g., Northern CHOD, ASFR) 	OPNAV N3/N5			FY14-20
3.1.3: Expand cooperative partnerships with Arctic nations and Arctic states, and international, interagency and private sector stakeholders that enhance Arctic security. Focus on:		CHINFO DUSN PPOI MSC NAVSEA NIPO	D, O, T, L, F	FY14-20
Memoranda of Agreement/Memoranda of Understanding - Pursue additional bi-lateral and multi-lateral agreements with Arctic nations to leverage capabilities and expand cooperative opportunities within the region	OPNAV N3/N5	NOAA NWC OJAG Code 10 ONR USCG		
 Cross-Decks - Expand and formalize professional exchange programs focused on Arctic nations. (Also addressed in Operations and Training.) 	Director TFCC			
 Multinational Exercise Participation – Take advantage of opportunities to participate in Arctic region exercises 	USFFC			
Facilities Access – Leverage partner nation and commercial facilities to maximum extent possible Information Sharing (Sec. 2.8.5)	OPNAV N4			
• Information Sharing (See 2.8.5) 3.1.4: Confirm and codify agreements with key Arctic States.	OPNAV N3/N5	DUSN PPOI		FY14-20

4.0 Execution

Actions	Lead	Support	DOTMLPF	Suspense
4.1: Provide semi-annual reports regarding roadmap execution to CNO.	Director TFCC	TFCC	D	FY14-20
4.2: Review and revise the Navy Arctic Roadmap after promulgation of the Quadrennial Defense Review (QDR) and incorporate QDR guidance as appropriate.	Director TFCC	TFCC	D	FY14-20

Appendix 4

Glossary of Abbreviations

AFSB Afloat Forward Staging Base ASFR Arcite Security Forces Roundtable

APOD Aerial Port of Debarkation

Arctic Council A high-level intergovernmental forum that addresses primarily

environmental protection and sustainable development issues in the Arctic

region. The eight founding nations are Canada, Denmark, Finland,

Iceland, Norway, Russia, Sweden and the United States.

Arctic Region The region of the globe that consists of all U.S. and foreign territory north

of the Arctic Circle and all U.S. territory north and west of the boundary formed by the Porcupine, Yukon, and Kuskokwim Rivers; all contiguous seas, including the Arctic Ocean and the Beaufort, Bering, Chukchi Seas,

and the Aleutian Island chain.

ASL Arctic Submarine Lab ASW Antisubmarine Warfare

C4ISR Command, Control, Communications, Computers, Intelligence,

Surveillance and Reconnaissance

C6F Commander 6th Fleet CANUS Canada/United States

CBA Capabilities Based Assessment CHINFO U. S. Chief of Information

CHOD Chiefs of Defense

CIA Central Intelligence Agency

CLCS Commission on the Limits of the Continental Shelf

CMP Common Maritime Picture

CNE Commander Naval Forces Europe

CNIC Commander Navy Installations Command

CNMOC Commander Naval Meteorology and Oceanography Command

CNO Chief of Naval Operations
CNR Chief of Naval Research
COMSUBFOR Commander, Submarine Force

CONOPS Concept of Operations
CPF Commander Pacific Fleet

Cross-Deck Cross-deck (or cross-decking) is naval jargon referring to the informal, ad-

hoc sharing of resources or personnel between naval vessels.

CRREL U.S. Army Cold Regions Research and Engineering Laboratory CS-21R A Cooperative Strategy for 21st Century Seapower (Revised)

Destination Shipping Intra-Arctic coastal shipping routes DHS Department of Homeland Security

DLA Defense Logistics Agency
DoD Department of Defense

DOTMLPF-P Doctrine, Organization, Training, Materiel, Leadership and Education,

Personnel and Facilities-Policy

DSCA Defense Support of Civil Authorities

DUSN PPOI Deputy Undersecretary of the Navy for Plans, Policy, Oversight &

Integration

ECS Extended Continental Shelf EEZ Exclusive Economic Zone ESPC Earth System Prediction Capability

EUCOM U.S. European Command

GEF Guidance for Employment of the Force

GPS Global Positioning Satellite

HA/DR Humanitarian Assistance/Disaster Response

ICEX Ice Exercise

ILSAInternational Law Students AssociationIMOInternational Maritime OrganizationIPCCInternational Panel on Climate Change

ISA International Seabed Authority

ISR Information, Surveillance, Reconnaissance JROC Joint Requirements Oversight Council

JSJ5 Joint Staff J5 LNG Liquid Natural Gas

MDA Maritime Domain Awareness

MEDEA Measurements of the Earth Data for Environmental Analysis

MILSATCOM Military Satellite Communications System

MSC Military Sealift Command

MSSIS Maritime Safety and Security Information System

NAVAID Navigational Aids

Navigable Water Defined as less than 40% ice coverage, and requiring icebreaker support

NAVSEA Naval Sea Systems Command NCCs Navy Component Commands

NECC Navy Expeditionary Combat Command NETC Naval Education and Training Command NGA National Geospatial-Intelligence Agency

NIC National Ice Center

NIPO Navy International Programs Office

NOAA National Oceanic and Atmospheric Administration NORAD North American Aerospace Defense Command

NORTHCOM U.S. Northern Command NPS Naval Post-Graduate School NSF National Science Foundation

NSR Northern Sea Route NWC Naval War College

NWDC Naval Warfare Development Command

NWP Northwest Passage

OJAG Office of the Judge Advocate General

OLA Office of Legislative Affairs
ONI Office of Naval Intelligence
ONR Office of Naval Research
OPCON Operational Control

Open Water Defined as up to 10% of sea ice concentration with no ice of land origin

(e.g., icebergs) and navigable by open oceans vessel without icebreaker

escort

OPNAV Office of the Chief of Naval Operations
OSD Office of the Secretary of Defense

PACOM U.S. Pacific Command

PEO C4I Program Executive Office for Command, Control, Communications,

Computers & Intelligence

POM Program Objective Memorandum
QDR Quadrennial Defense Review
R&D Research and Development
S&T Science and Technology

SAM SCICEX Accommodation Mission

SAR Search and Rescue

SAREX Search and Rescue Exercise SCICEX Scientific Ice Exercise

Sea ice A sheet of floating ice, chiefly on the surface of the sea, smaller than an

ice field

SPAWAR Space and Naval Warfare Systems Command

SPOD Sea Port of Debarkation SPP Sponsor Program Proposal

SYSCOMs System Commands

TFCC Task Force Climate Change

Transit Shipping Cross-Arctic transit routes from Europe to Asia

TRP Transpolar Route
TYCOM Type Commander

UAV Unmanned Aerial Vehicle UCP Unified Command Plan

UNCLOS United Nations Convention on the Law of the Sea

USAF United States Air Force USA United States Army

USCG United States Coast Guard

USFFC Unites States Fleet Forces Command

USN United States Navy

USNA United States Naval Academy UUV Unmanned Undersea Vehicle

VOI Vessel of Interest

¹ The Arctic nations are the standing members of the Arctic Council: Canada, Denmark (representing Greenland and the Faroe Islands), Finland, Iceland, Norway, Sweden, the Russian Federation, and the United States.

http://www.nrlmry.navy.mil/forecaster_handbooks/Arctic/Forecasters%20Handbook%20for%2 0the%20Arctic.htm>

http://www.nytimes.com/2010/04/28/world/europe/28norway.html?_r=0>

² The Arctic Ocean is generally taken to include Baffin Bay, Barents Sea, Beaufort Sea, Chukchi Sea, East Siberian Sea, Greenland Sea, Hudson Bay, Hudson Strait, Kara Sea, Laptev Sea, White Sea and other tributary bodies of water. It is connected to the Pacific Ocean by the Bering Strait and to the Atlantic Ocean through the Greenland Sea and Labrador Sea. http://www.britannica.com/EBchecked/topic/33188/Arctic-Ocean and derived from CIA World Factbook

³ The "Arctic Region" is defined as the area that encompasses all U.S. and foreign territory north of the Arctic Circle and all U.S. territory north and west of the boundary formed by the Porcupine, Yukon, and Kuskokwim Rivers, and all contiguous seas and straits north of and adjacent to the Arctic Circle. This definition is consistent with the Arctic Research and Policy Act of 1984 (15 U.S.C. 4111) and Arctic Council usage.

⁴ The Department of Defense defines the term "function" as: "The appropriate or assigned duties, responsibilities, missions, or tasks of an individual, office, or organization. As defined in the National Security Act of 1947, as amended, the term 'function' includes functions, powers, and duties (5 United States Code 171n (a))." Source: Joint Publication 1-02, *Department of Defense Dictionary of Military and Associated Terms*, as amended through 15 January 2012.

⁵ The United States National Strategy for the Arctic Region, May 2013.

⁶ Multi-year ice is sea ice that has survived at least one melting season (i.e., one summer). Source: Sechrist, F.S.; Fett, R.W.; Perryman, D.C., "Forecasters Handbook for the Arctic," *Naval Environmental Prediction Research Facility Technical Report* TR 89-1. 2 October 1989. Web. 17 Oct 2013.

⁷ Gibbs, W. "Russia and Norway Reach Accords on Barents Sea." *New York Times*. 27 April, 2010. Web. 26 Sept 2013.

⁸ Kraska, J. "From Pariah to Partner: Russian-American Security Cooperation in the Arctic Ocean," *ILSA Journal of International & Comparative Law* 16, no. 2 (2009), Web 3 Oct. 2013. http://ssrn.com/abstract=1648907.>

⁹ Conley, H. "Arctic Economics in the 21st Century: The Benefits and Costs of Cold." Center for Strategic and International Studies, July 2013.

¹⁰ Budzik, P. "Arctic Oil and Natural Gas Potential." U.S. Energy Information Administration. Office of Integrated Analysis and Forecasting Oil and Gas Division. October 2009.Web. 20 Aug. 2013. http://www.eia.gov/oiaf/analysispaper/arctic/pdf/arctic_oil.pdf

¹¹ Ibid.

¹² Intelligence Community Assessment. "Military Implications of the Diminished Sea Ice in the Arctic Through 2030." ICA 2012-50. 11 July 2012.

¹³ U.S. Navy. "Navy Arctic Mission Analysis." June 2011.

¹⁴ Intergovernmental Panel on Climate Change (IPCC), *Climate Change 2007: Synthesis Report*. pg. 30 Web. 19 April, 2013.

< http://www.ipcc.ch/publications_and_data/publications_ipcc_fourth_assessment_report_synthesis_report.htm>

¹⁵ Ibid.

¹⁶ National Snow and Ice Data Center. "Arctic Sea Ice Extent Settles at Record Seasonal Minimum." NSIDC press release. 19 Sept. 2012. Web. 20 Aug. 2013. http://nsidc.org/arcticseaicenews/2012/09/arctic-sea-ice-extent-settles-at-record-seasonal-minimum/

¹⁷ Open water is defined as up to 10% of sea ice concentration with no ice of land origin (e.g. icebergs), navigable by open ocean vessels without icebreaker escort. World Meteorological Organization Pub No. 259 Sea Ice Nomenclature.

¹⁸ "Shoulder season" is defined as less than 40 percent sea ice coverage. Task Force Climate Change (TFCC) convention.

¹⁹ U.S. Geological Survey. "Circum-Arctic Resource Appraisal: Estimates of Undiscovered Oil and Gas North of the Arctic Circle." USGS Fact Sheet 2008 and USGS Web. 15 Aug. 2013. http://www.usgs.gov/newsroom/article.asp?ID=1980&from=rss_home

²⁰ Budzik, "Arctic Oil and Natural Gas Potential."

²¹ Carmel, S. M. "The Cold Hard Realities of Arctic Shipping." *Proceedings of the Naval Institute*, Vol. 139/7/1,325. July 2013. Web. 20 Aug. 2013. http://www.usni.org/magazines/proceedings/2013-07/cold-hard-realities-arctic-shipping

²² Winter, A. "U.S. Bans Commercial Fishing in Warming Arctic." *Scientific American*, 21 Aug. 2009. Web. 9 Sept 2009. http://www.scientificamerican.com/article.cfm?id=ban-commercial-fishing-arctic-global-warming>

²³ Office of Naval Intelligence. "Geostrategic Assessments for the Arctic: Civil Maritime Activity, National Interests, and Future Trends." Briefing, July 2013.

²⁴ Perry, C. M. and B. Andersen. "New Strategic Dynamics in the Arctic Region." Institute for Foreign Policy Analysis. Feb. 2012. Web. 8 Oct. 2013. http://www.ifpa.org/pdf/StrategicDynamicsArcticRegion.pdf

²⁵ Budzik, "Arctic Oil and Natural Gas Potential."

²⁶ Office of Naval Intelligence. "Geostrategic Assessments."

- ²⁹ U.S. Department of State. Fact Sheet. "Secretary Clinton Signs the Arctic Search and Rescue Agreement with Other Arctic Nations." 12 May, 2011. Web. 9 Sept. 2013. http://www.state.gov/r/pa/prs/ps/2011/05/163285.htm
- ³⁰ U.S. Department of State. Fact Sheet. "Agreement on Cooperation on Marine Oil Pollution Preparedness and Response in the Arctic" 15 May, 2013. Web. 9 Sept. 2013. http://www.state.gov/r/pa/prs/ps/2013/05/209406.htm
- ³¹ Office of Naval Intelligence. "Geostrategic Assessments."
- ³² Exercise NORTHERN EAGLE is a biennial, combined Russian-U.S.-Norwegian naval exercise series that began in 2004. It was last held in August 2012 in the Barents Sea.
- ³³ Operation NANOOK is the largest Canadian Armed Forces annual exercise in Canada's North. Typically held in August, it includes multi-national participation.
- ³⁴ 10 USC § 7921.
- ³⁵ Sea Control is the employment of naval forces, supported by land and air forces as appropriate, in order to achieve military objectives in vital sea areas.
- ³⁶ Power projection is the ability of a nation to apply all or some of its elements of national power political, economic, informational, or military to affect outcomes.
- ³⁷ ICEX is an international exercise held every two to three years by the Navy's Arctic Submarine Lab (ASL), a Fleet Support Detachment of Commander, Submarine Forces U.S. Pacific Fleet. ASL is responsible for developing and maintaining expertise in Arctic specific skills.

Officially launched in 1994, SCICEX is a federal interagency collaboration among the operational Navy, research agencies, and the marine research community to episodically use nuclear-powered submarines for scientific studies of the Arctic Ocean.

²⁷ Institute for Foreign Policy Analysis. "New Strategic Dynamics in the Arctic Region." Feb 2012. Web. 20 Sept. 2013 http://www.ifpa.org/pdf/StrategicDynamicsArcticRegion.pdf

²⁸ "Illulissat Declaration." *Arctic Report*. Web. 18 Nov. 2013. http://www.arctic-report.net/?post_type=products&p=859&lang=en

³⁸ Office of Naval Intelligence. "Geostrategic Assessments."

Navy Arctic Roadmap Significant Actions

FOCUS AREA FY14	FY14		FY15			FY16	FY18	FY20
	Establich Requirements Working	Working Group						
	Advocate for Executive Agent for the Arctic	Agent for the Arctic						
Strategy, Policy, Missions, & Plans	Incorporate C5-21R guidance relating to Arctic capabilities into POM guidance	idence relating to o POM guidence						
	Develop Arctic engagement plan focusing on partnerships	gement plan nerships						
		Incorporate A in Nevy Cem	Incorporate Arctic engagements in Navy Campaign Support Plan					
Operations &		TYCOMs update	TYCOMs update Fleet guidance on Arctic operations	Ti a	Develop A	Develop Arctic CONOP for Naval platforms	, a	
Training		TYCOMS	TYCOMs generate guidence and training requirements		Integrate testing of sen	integrate testing of sensors/bystems into Arctic exercises /operations	es /operations	
	Develop per	Develop perzonnel exchange plan with regional partners	A regional partners	Incress	ncrease participation/visibility in Arctic exercises	terdises		
Science & Technology	Incorporate Arctic related science and technology requirements in CS-21R	ated science and nents in CS-21R	Lodding	Support access to previously dessified information by dimete research community	formation by	poli	Increase OWR's Arctic Research Efforts	
	Produce a holistic Arctic environmental sensing plan	environmental sensing	Devel	Develop CDNOPS for Arctic environmental Observer/ Forecaster support	ntal Observer/	Support efforts to r environmental obs	Support efforts to research, develop, resource and sustain an Arctic environmental observation system in support of U.S. operations	tain an Arctic
Environmental Observation		Quantity and characterize uncertainty in long range climate and ice forecasting capabilities	ize uncertainty in long orecasting capabilities					
& Prediction			Surf	tain development of Earth Syste	Sustain development of Earth System Prediction Capability Efforts (ESPC)	ÿ.		
Cafe Mavination				3	Sustain Arctic Nation Navy hydrographic survey data sharing and planning effort	hic survey data sharing and plan	nning effort	
		Coordinat	Coordinate with NGA, NDAA and USCG to develop a national hydrographic plan		Identity safe navigational corridors and NAVAID requirements	rements		
C4ISR	Conduct analysis of existing and future hig data rate communication requirements	isting and future high ation requirements	Establish ISR require	Establish ISR requirements for space, manned and unmanned options				
Installations & Facilities		Identify requirements to establish APODs and SPODs	ents to establish d SPODs		Evaluate capability of existing ports and airfields to support Navy operations	and airfields to support Navy		
Platforms, Support	Assess current capability of existing platforms in open water/shoulder seasons	existing platforms in der seasons		Determine v	Determine weapon and sensor capabilities and requirements			
Equipment, and Sensors	Identify future plotforms and engineering requirements that will operate in open water/shoulder seasons	is and engineering l operate in open r seasons						
	Identify platform(s) that can act as Navy's Arctic capable AFSB by mid 2020s	t can act as Navy's by mid 2020s						
Maritime Domain				Improve MDA through collaboration	stion			
Awareness			Introduce common Vessel	introduce common Vessel of Interest lexicon for MDA in the Arctic	e Arctic			
Build Trust and Confidence with				Public Communications and Outreach	is and Outreach			
Partners		Boye	and cooperative partnerships with	h Anctic nations and Anctic states	Expand cooperative partnerships with Arctic nations and Arctic states, and international, interagency and private sector stakeholders	private sector stakeholders		